

FORM PTO-1390 REV. 5-93 <b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  ATTORNEYS DOCKET NUMBER <b>P01,0032</b>
		U.S.APPLICATION NO. (if known, see 37 CFR 1.5) <b>09/786,742</b>
INTERNATIONAL APPLICATION NO.  <b>PCT/DE99/02721</b>	INTERNATIONAL FILING DATE  <b>01 September 1999</b>	PRIORITY DATE CLAIMED  <b>08 September 1998</b>
TITLE OF INVENTION <b>"LASER RADIATION SOURCE"</b>		
APPLICANT(S) FOR DO/EO/US <b>Heinrich Jürgensen</b>		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li>1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.</li> <li>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input type="checkbox"/> A copy of International Application as filed (35 U.S.C. 371(c)(2))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <b>Executed</b></li> <li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> <p><b>Items 11. to 16. below concern other document(s) or information included:</b></p> <ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> A Supplemental Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (<b>PTO 1449, Prior Art, Search Report</b>).</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. <b>SEE ATTACHED ENVELOPE</b></li> <li>13. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li>13. <input checked="" type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment. <b>Submittal of Executed Declaration, Drawings and Second Preliminary Amendment</b></li> <li>14. <input checked="" type="checkbox"/> A substitute specification. - <b>Marked up version of Substitute Specification</b></li> <li>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>16. <input checked="" type="checkbox"/> Other items or information:             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/></li> <li>b. <input checked="" type="checkbox"/> <b>EXPRESS MAIL #EL843742761 US dated September 14, 2001.</b></li> </ol> </li> </ol>		

**BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):**

Search Report has been prepared by the EPO or JPO ..... \$860.00

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) ..... \$690.00

No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but  
international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) ..... \$760.00Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search  
fee (37 C.F.R. 1.445(a)(2)) paid to USPTO ..... \$970.00International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims  
satisfied provisions of PCT Article 33(2)-(4) ..... \$ 96.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$130.00

Surcharge of \$130.00 for furnishing the oath or declaration later than  20  30 months from the  
earliest claimed priority date (37 C.F.R. 1.492(e)).

\$ 0

Claims	Number Filed	Number Extra	Rate	
Total Claims	71	- 74 =	0	X \$ 18.00 \$ 0
Independent Claims	3	- 4 =	4	X \$ 80.00 \$0
Multiple Dependent Claims			\$270.00 +	\$
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 0
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)				\$
<b>SUBTOTAL =</b>				\$ 130.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$
<b>TOTAL NATIONAL FEE =</b>				\$ 0
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property				\$
<b>TOTAL FEES ENCLOSED =</b>				\$130.00
09/20/2001 MKAYPAGH 00000021 09786742 01 FC:154 130.00 OP				Amount to be refunded \$
				charged \$

- a.  A check in the amount of \$ 130.00 to cover the above fees is enclosed.  
 b.  Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.  
 c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 501519. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Schiff Hardin & Waite  
Patent Department  
6600 Sears Tower  
Chicago, Illinois 60606  
CUSTOMER NO. 26574

SIGNATURE

Brett A. Valiquet

NAME

27,841

Registration Number

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

"Express Mail" Mailing Label Number EL843742761 US

Date of Deposit: September 14, 2001

I hereby certify that this CORRESPONDENCE IS BEING DEPOSITED WITH THE United States Postal "Express Mail Post Office To Addressee" SERVICE UNDER 37 CFR 1.10(c) on the date indicated above and is addressed to:

**Hon. Assistant Commissioner for Patents  
Washington, D. C. 20231**

**Case Number: P01,0032**

**International Application No.  
PCT/DE99/02721**

**International Filing Date  
01 September 1999**

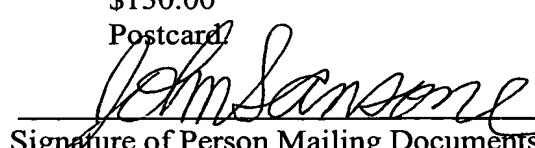
**Priority Date Claimed  
08 September 1998**

**Title: "LASER RADIATION SOURCE"**

**Applicants: Heinrich Jürgensen**

**Enclosed are the following documents:**

PTO 1390 in duplicate;  
Submittal of E executed Declaration, Drawings and Second Preliminary Amendment  
Substitute Specification  
Marked up copy of Substitute Specification  
Supplemental Information Disclosure Statement, Refs. Form 1449  
Executed Declaration  
Executed Assignment (Separate Envelope) Fee \$40.00  
\$130.00  
Postcard.

  
\_\_\_\_\_  
Signature of Person Mailing Documents and Fee

This redlined draft, generated by CompareRite (TM) - The Instant Redliner, shows the differences between -

original document : P:\H&S C DRIVE\MYFILES\844\P010032\P010032-SUBSTITUTE SPECIFICATION.WPD

and revised document: P:\H&S C DRIVE\MYFILES\844\P010032\P010032-SECOND SUBSTITUTE SPECIFICATION.WPD

CompareRite found 223 change(s) in the text

Deletions appear as Normal text surrounded by []

Additions appear as Underline text